

## Re-Registration & Subscription Form

This form must be completed in its entirety and should be returned to your selling agent or financial advisor for processing.

**NOTICE:**

- This Re-Registration and Subscription Agreement MUST be used in conjunction with the current Grant Park Futures Fund Limited Partnership prospectus.

### 1. Your Existing Grant Park Fund Account Information

Please print clearly.

\_\_\_\_\_  
Grant Park Fund Account Title (how the statement reads)

\_\_\_\_\_  
Grant Park Fund Investor ID

\_\_\_\_\_  
Selling Firm Account Number

### 2. Re-Registration Amount

Select the class(es) and the number of units or dollar amounts you are re-registering.

<input type="checkbox"/> Global 1	<input type="checkbox"/> Global 2	<input type="checkbox"/> Global 3	<input type="checkbox"/> Legacy 1	<input type="checkbox"/> Legacy 2	<input type="checkbox"/> A	<input type="checkbox"/> B	<input type="checkbox"/> All units of all classes held in the account
# of units	# of units	# of units					
_____	_____	_____	_____	_____	_____	_____	
or \$ amount	or \$ amount	or \$ amount					
_____	_____	_____	_____	_____	_____	_____	

### 3. Re-Registration Account Type

Please indicate the new type of account you are re-registering to.

**New Account Type (choose one):**

- |  |                                       |   |  |
|--|---------------------------------------|---|--|
| <input type="checkbox"/> Individual Ownership                      | <input type="checkbox"/> IRA          | <input type="checkbox"/> Trust *  | <input type="checkbox"/> Profit Sharing *  |
| <input type="checkbox"/> Joint Tenants with Rights of Survivorship | <input type="checkbox"/> IRA Rollover | <input type="checkbox"/> Corporation *  | <input type="checkbox"/> Pension *         |
| <input type="checkbox"/> Tenants in Common                         | <input type="checkbox"/> Roth IRA     | <input type="checkbox"/> Partnership *  | <input type="checkbox"/> Defined Benefit * |
| <input type="checkbox"/> Community Property                        | <input type="checkbox"/> SEP          | <input type="checkbox"/> Estate *   | <input type="checkbox"/> Other * _____     |
| <input type="checkbox"/> UGMA/UTM (Minor)                          |                                       | <input type="checkbox"/> Foreign * The investor is a not a United States citizen, corporation, partnership, estate or trust and has included Form W-8 BEN with this Subscription Agreement. |  |

**For each account type indicated with “\*” above, each account owner should read and initial the following paragraph:**

_____ Initial(s)	The undersigned hereby certifies by signing below that it has the power, under its applicable charter or organizational documents, to enter into transactions in each of the following types of securities: (1) units of beneficial interest in a limited partnership; (2) U.S. government securities; and (3) managed futures (i.e., futures, forward, option, spot, swap and security futures contracts). Additionally, the undersigned acknowledges that the General Partner has not been provided the undersigned’s charter or organizational documents as part of this Re-Registration, and that, accordingly, neither Grant Park Fund nor the General Partner will make a review or interpretation of such documents.
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**For Account Type LLC, LTD, Partnership, Foreign and Other read and complete the following:**

The undersigned hereby certifies by signing below that undersigned has read the definition of a Commodity Pool Operator as set forth in the Instructions to Subscription Agreement and Power of Attorney in Appendix B of the prospectus and attests to the following (choose one):

- It is a registered Commodity Pool Operator with the CFTC and is a member of the NFA
- It has an exemption from registration as a Commodity Pool Operator with CFTC (Exemption Type: \_\_\_\_\_)
- It does not fall within the definition of Commodity Pool Operator as defined by the CFTC and membership in the NFA is not required.

<b>FOR INTERNAL USE ONLY</b>	
Investor ID	Selling Firm
Account Type	Unit Total
Advisor	Class

## 4. Re-Registration Account Information

Please provide details for the account you are re-registering to.

\_\_\_\_\_  
New Account #

\_\_\_\_\_  
New Social Security Number or Tax ID

I am subject to backup withholding under Sections 3406(a)(1)(c) of the Internal Revenue Code.

\_\_\_\_\_  
New Grant Park Account Title

\_\_\_\_\_  
Authorized Individuals (List individual(s) authorized to act on behalf of the account for UGMA, Trust, Corporation, Partnership, Estate, Profit Sharing, Pension, Defined Benefit and Other.)

\_\_\_\_\_  
Legal Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip

\_\_\_\_\_  
Mailing Address (if different)

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip

\_\_\_\_\_  
Daytime Phone Number

\_\_\_\_\_  
Email

Check box to opt out of accessing your monthly statement online

**Transfers:** Consistent with Section 12.1 of the Third Amended and Restated Limited Partnership Agreement (the "Partnership Agreement") of the Grant Park Fund, no assignment, transfer or disposition by a limited partner of units, including the Transferor (as such term is defined in Section 7 below) shall be effective against Grant Park Fund or Grant Park Fund's general partner, Dearborn Capital Management, L.L.C. (the "General Partner") until: (i) the General Partner receives the written notice of the assignment by receipt of this form, (ii) the proposed assignee completes this form and any other documentation required by the General Partner, and (iii) the General Partner consents to such assignment, transfer or disposition. No assignment, transfer or disposition of Units shall be effective against Grant Park Fund or the General Partner until the first day of the month succeeding the month in which the General Partner consents to such assignment, transfer or disposition, or as otherwise provided by the General Partner.

**Substitute Limited Partner:** By signing the authorization in Section 7, the Transferee (as such term is defined in Section 7 hereof) agrees to be bound by the Partnership Agreement as a Limited Partner following the acceptance by the General Partner of this form.

**Power of Attorney:** By signing below, the Transferee agrees and acknowledges that, in connection with the Transferee's receipt and acceptance of the Transferred Units (as such term is defined in Section 7 below), the Transferee irrevocably constitutes and appoints the General Partner, and its successors and assigns, as the Transferee's true and lawful attorney-in-fact, with full power of substitution, in the Transferee's name, place and stead, (i) to file, prosecute, defend, settle or compromise litigation, claims or arbitrations on behalf of Grant Park Fund, and (ii) to make, execute, sign, acknowledge, swear to, deliver, record and file any documents or instruments that may be considered necessary or desirable by the General Partner to carry out fully the provisions of the Partnership Agreement, including, without limitation, the execution of the Partnership Agreement itself, and the execution of all amendments permitted by the terms thereof. The Power of Attorney granted hereby shall be deemed to be coupled with an interest, shall be irrevocable and shall survive, and shall not be affected by, the Transferee's subsequent death, incapacity, disability, insolvency or dissolution or any delivery by the Transferee of an assignment of the whole or any portion of the Transferee's Units.

**Acknowledgements/Representations and Warranties:** The Transferee further acknowledges receipt of the Prospectus (and any sticker supplements), including the Partnership Agreement, the terms of which govern the investment in the Transferred Units. By signature on this document, (i) the Transferee acknowledges that is making the representations and warranties **set forth in Appendix C of the Prospectus, including the applicable requirements relating to net worth and annual income.**

## 5. Custodian Information & Signature

Please complete this section if the new account will be registered with a Custodian (Common custody account types include: IRA, IRA Rollover, Roth IRA, SEP)

\_\_\_\_\_

Custodian Name

\_\_\_\_\_

Legal Address (no P.O. Boxes)

\_\_\_\_\_

City

\_\_\_\_\_

State

\_\_\_\_\_

Zip

\_\_\_\_\_

Custodian Signature or Authorized Agent (if applicable)

\_\_\_\_\_

Date

## 6. Financial Advisor Information

Please complete this section for any account change.

The undersigned Financial Advisor ("F.A.") hereby certifies that: (1) he/she holds the appropriate securities licenses required by his/her Firm in order to offer and sell units in the Fund; (2) the F.A. has informed the person(s) named in Section 7 below of all pertinent facts relating to the liquidity and marketability of the units as set forth in the prospectus; (3) the F.A. has delivered to the person(s) named in Section 7 below a copy of the current prospectus on or before the date of this certification; and (4) the F.A. has reasonable grounds to believe (on the basis of information obtained from the person(s) named in Section 7 below concerning such person's(s') age, investment objectives, investment experience, income, net worth, financial situation and needs, other investments and any other information known by the F.A.) that: (a) the purchase of units of the Grant Park Fund is a suitable and appropriate investment for such person(s); (b) such person(s) meet(s) the applicable minimum income and net worth suitability requirements; (c) such person(s) can reasonably benefit from an investment in the Grant Park Fund based on such person's(s') overall investment objectives and portfolio structure; (d) such person(s) can bear the economic risks of the investment in the Grant Park Fund; and, (e) such person(s) appears(s) to have an understanding of the fundamental risks of investment in the Grant Park Fund (including that an investor may lose its entire investment), the restrictions on the liquidity and transferability of the units, and the general background and qualifications of the General Partner and the trading advisors. The Financial Advisor must sign below in order to substantiate compliance with NASD Conduct Rule 2810 (please visit [www.finra.org](http://www.finra.org) for more information regarding Rule 2810).

\_\_\_\_\_

Name of Advisor / Group Name

\_\_\_\_\_

Daytime Phone Number

\_\_\_\_\_

Fax Number

\_\_\_\_\_

Advisor ID Number

\_\_\_\_\_

Branch ID Number

\_\_\_\_\_

Email

\_\_\_\_\_

Selling Firm Name

\_\_\_\_\_

Branch Address (no P.O. Boxes)

\_\_\_\_\_

City

\_\_\_\_\_

State

\_\_\_\_\_

Zip

\_\_\_\_\_

Financial Advisor Signature

\_\_\_\_\_

Date

\_\_\_\_\_

Office Manager Signature (if required)

\_\_\_\_\_

Date

## 7. Authorization(s)

*For your protection, re-registrations are not available without a signature guarantee in this section. All account owners must sign this section.*

**Authorizations of the Transferor:** The existing owner/existing co-owner/transferor (the "Transferor") hereby makes application to transfer and assign, subject to approval by Dearborn Capital Management, L.L.C. (the "General Partner"), to the new owner/new co-owner/transferee (the "Transferee") all right, title and interest in and to the Transferor's units in Grant Park Futures Fund Limited Partnership (the "Grant Park Fund") set forth in Section 2 above (the "Transferred Units") and for the Transferee to succeed to such right, title and interest as a substitute Limited Partner. The Transferor hereby certifies and represents that Transferor has possession of valid title and all requisite power to assign the Transferred Units and that such assignment is in accordance with all applicable laws and regulations.

\_\_\_\_\_

Existing Owner's Name (print)

\_\_\_\_\_

Existing Co-Owner's Name (print)

\_\_\_\_\_

Existing Owner's Signature

\_\_\_\_\_

Date

\_\_\_\_\_

Existing Co-Owner's Signature

\_\_\_\_\_

Date

**Authorization of the Transferee:** The Transferee hereby makes application to accept from the Transferor the Transferred Units and intends to succeed the Transferor as a substitute Limited Partner and agrees to accept all the terms and conditions of the Prospectus, the Partnership Agreement and related documents.

As set forth in Item 4 above, by signing below, the Transferee acknowledges that it is making the representations and warranties set forth in Appendix C of the Prospectus, including the applicable requirements relating to net worth and annual income. The Transferee cannot be admitted as a Limited Partner unless all such representations and warranties are true and correct on the date hereof.

\_\_\_\_\_

New Owner's Name (print)

\_\_\_\_\_

New Co-Owner's Name (print)

\_\_\_\_\_

New Owner's Signature

\_\_\_\_\_

Date

\_\_\_\_\_

New Co-Owner's Signature

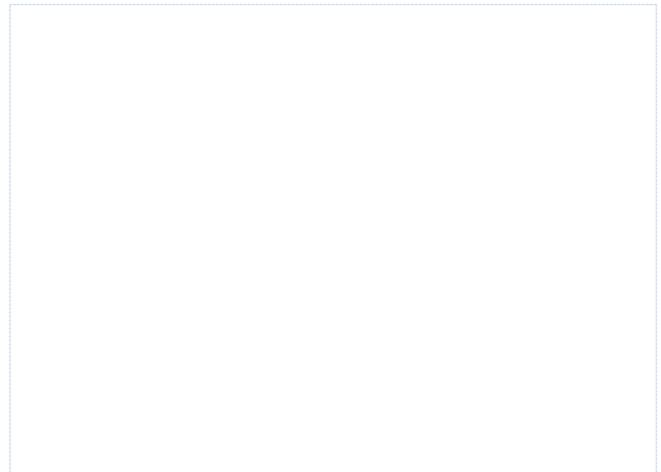
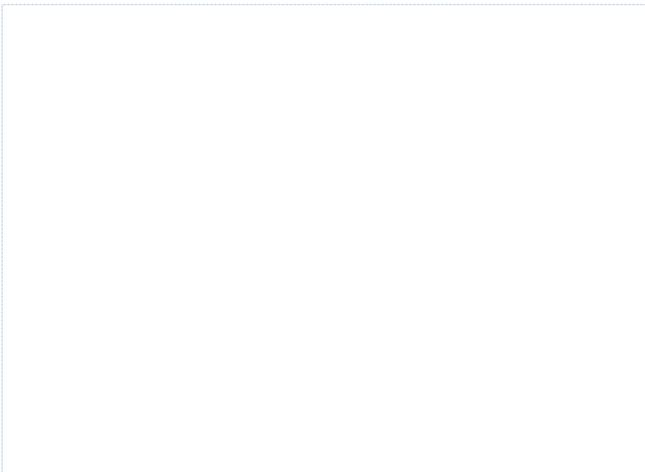
\_\_\_\_\_

Date

## Signature Medallions

New Entity Signature Guarantee Medallion Stamp

Resigning Entity Signature Guarantee Medallion Stamp



Grant Park Fund  
c/o Dearborn Capital Management, LLC  
566 West Adams St, Suite 300  
Chicago, IL 60661



- (D) You have established the identity of or have access to all Beneficial Owners, hold evidence of or have access to such identities, and (i) will make such information available to the general partner and /or your selling agent upon request, or (ii) will provide a certificate signed by you or by a senior officer of you with respect to your compliance with the anti-money laundering policies, procedures and controls, and, in either case, have procedures in place to ensure that no Beneficial Owner is a Prohibited Investor.
- (c) You represent and warrant that, to the best of your knowledge and belief, neither you, any Beneficial Owners nor any person controlling, controlled by, or under common control with any such Beneficial Owners, nor any person having a beneficial or economic interest in any such Beneficial Owners, is a Prohibited Investor or, unless disclosed to the general partner and your selling agent in writing, a Senior Foreign Political Figure or a member of the Immediate Family or a Close Associate of a Senior Foreign Political Figure, and you are not investing and will not invest in Grant Park on behalf or for the benefit of any Prohibited Investor. You agree promptly to notify the general partner and your selling agent of any change in information affecting the representations and warranties in this paragraph II.
- (d) You represent and warrant that the funds being used to make this investment are not derived from any unlawful or criminal activities.
- (e) For purposes of this paragraph II, the following terms shall have the following meanings:
- Close Associate of a Senior Foreign Political Figure is a person who is widely and publicly known internationally to maintain an unusually close relationship with the Senior Foreign Political Figure, and includes a person who is in a position to conduct substantial domestic and international financial transactions on behalf of the Senior Foreign Political Figure.
  - FATF-Compliant Jurisdiction is a jurisdiction that (i) is a member in good standing of FATF and (ii) has undergone two rounds of FATF mutual evaluations.
  - FATF means the Financial Action Task Force on Money Laundering.
  - Foreign Bank means an organization that (i) is organized under the laws of a non-U.S. country, (ii) engages in the business of banking, (iii) is recognized as a bank by the bank supervisory or monetary authority of the country of its organization or principal banking operations, (iv) receives deposits to a substantial extent in the regular course of its business, and (v) has the power to accept demand deposits, but does not include the U.S. branches or agencies of a non-U.S. bank.
  - Foreign Shell Bank means a Foreign Bank without a Physical Presence in any country, but does not include a Regulated Affiliate. Regulated Affiliate means a Foreign Shell Bank that (i) is an affiliate of a depository institution, credit union, or Foreign Bank that maintains a Physical Presence in the United States or a non-U.S. country, as applicable, and (ii) is subject to supervision by a banking authority in the country regulating such affiliated depository institution, credit union, or Foreign Bank.
  - Immediate Family of a Senior Foreign Political Figure typically includes such person's parents, siblings, spouse, children and in-laws.
  - Non-Cooperative Jurisdiction means any non-U.S. country that has been designated as noncooperative with international anti-money laundering principles or procedures by an intergovernmental group or organization, such as the FATF, of which the United States is a member and with which designation the United States representative to the group or organization continues to concur.<sup>2</sup>
  - Physical Presence means a place of business that is maintained by a Foreign Bank and is located at a fixed address, other than solely a post office box or an electronic address, in a country in which the Foreign Bank is authorized to conduct banking activities, at which location the Foreign Bank (i) employs one or more individuals on a full-time basis, (ii) maintains operating records related to its banking activities, and (iii) is subject to inspection by the banking authority that licensed the Foreign Bank to conduct banking activities.
  - Prohibited Investor means (i) a person or entity whose name appears on the various lists issued and maintained by the U.S. Office of Foreign Assets Control ("OFAC"), including the List of Specially Designated Nationals and Blocked Persons, the Specially Designated Terrorists List and the Specially Designated Narcotics Traffickers List;<sup>3</sup> (ii) a Foreign Shell Bank; or (iii) a person or entity who is a citizen or resident of, or which is located in, or whose subscription funds are transferred from or through, a Foreign Bank in a Non-Cooperative Jurisdiction or Sanctioned Regime.
  - Regulated Affiliate means a Foreign Shell Bank that (i) is an affiliate of a depository institution, credit union, or Foreign Bank that maintains a Physical Presence in the United States or a non-U.S. country, as applicable, and (ii) is subject to supervision by a banking authority in the country regulating such affiliated depository institution, credit union, or Foreign Bank.
  - Sanctioned Regimes means targeted foreign countries, terrorism sponsoring organizations and international narcotics traffickers in respect of which OFAC administers and enforces economic and trade sanctions based on U.S. foreign policy and national security goals.<sup>4</sup>
  - Senior Foreign Political Figure means a senior official in the executive, legislative, administrative, military or judicial branch of a foreign government (whether elected or not), a senior official of a major foreign political party, or a senior executive of a foreign government-owned corporation. In addition, a Senior Foreign Political Figure includes any corporation, business or other entity that has been formed by, or for the benefit of, a Senior Foreign Political Figure.

<sup>1</sup>For a current list of FATF-compliant jurisdictions refer to the Financial Action Task Force website, [http://www1.oecd.org/fatf/NCCT\\_en.htm](http://www1.oecd.org/fatf/NCCT_en.htm) <sup>2</sup>The list of Non-Cooperative Countries and Territories is amended periodically. For a current list of Non-Cooperative Countries and Territories, refer to the Financial Action Task Force website, [http://www1.oecd.org/fatf/NCCT\\_en.htm](http://www1.oecd.org/fatf/NCCT_en.htm) <sup>3</sup>The OFAC lists may be found at the OFAC website: <http://www.treas.gov/ofac> <sup>4</sup>As of the date of the prospectus, OFAC has imposed sanctions upon the following regimes: the Balkans, Belarus, Burma (Myanmar), Cote d'Ivoire (Ivory Coast), Cuba, Democratic Republic of the Congo, Iran, Iraq, Former Liberian Regime of Charles Taylor, North Korea, Persons Undermining the Sovereignty of Lebanon or Its Democratic Processes and Institutions, Sierra Leone, Sudan, Syria and Zimbabwe.

11. Neither Grant Park nor any selling agent may complete a sale of units until at least five business days after the date the investor receives a final prospectus. 11. \_\_\_\_\_

ARKANSAS RESIDENTS ONLY	INITIALS
You have a net worth of at least \$250,000, exclusive of home, furnishings and automobiles, or an annual gross income of at least \$70,000 and a net worth, similarly calculated, of at least \$70,000. Furthermore, in no event may you invest more than 10% of your liquid net worth, exclusive of home, furnishings and automobiles, in Grant Park.	____

NEW JERSEY RESIDENTS ONLY	INITIALS
You must have either (a) a minimum liquid net worth of at least \$100,000 and a minimum annual gross income of not less than \$85,000, or (b) a minimum liquid net worth of \$350,000. For these purposes, "liquid net worth" is defined as that portion of net worth (total assets exclusive of home, home furnishings, and automobiles, minus total liabilities) that consists of cash, cash equivalents and readily marketable securities. In addition, a New Jersey investor's investment in us, our affiliates and other non-publicly traded direct investment programs (including real estate investment trusts, business development companies, oil and gas programs, equipment leasing programs and commodity pools, but excluding unregistered, federally and state exempt private offerings) may not exceed ten percent (10%) of his or her liquid net worth.	____

TENNESSEE RESIDENTS ONLY	INITIALS
You have a net worth of at least \$500,000, exclusive of home, furnishings and automobiles, or an annual gross income of at least \$100,000 and a net worth, similarly calculated, of at least \$100,000. Furthermore, in no event may you invest more than 10% of your liquid net worth, exclusive of home, furnishings and automobiles, in Grant Park.	____

ARKANSAS AND TENNESSEE RESIDENTS ONLY
<p><b>POWER OF ATTORNEY</b></p> <p>In connection with my purchase of Limited Partnership Units ("units") in Grant Park Futures Fund Limited Partnership ("Grant Park") as set forth in this Subscription Agreement and Power of Attorney enclosed herewith, I do hereby irrevocably constitute and appoint Dearborn Capital Management, L.L.C. (the "General Partner"), and its successors and assigns, as my true and lawful attorney-in-fact, with full power of substitution, in my name, place and stead, (i) to file, prosecute, defend, settle or compromise litigation, claims or arbitrations on behalf of Grant Park, and (ii) to make, execute, sign, acknowledge, swear to, deliver, record and file any documents or instruments that may be considered necessary or desirable by the General Partner to carry out fully the provisions of Grant Park's Third Amended and Restated Limited Partnership Agreement, including, without limitation, the execution of said Agreement itself, and the execution of all amendments permitted by the terms thereof. The Power of Attorney granted hereby shall be deemed to be coupled with an interest, shall be irrevocable and shall survive, and shall not be affected by, my subsequent death, incapacity, disability, insolvency or dissolution or any delivery by me of an assignment of the whole or any portion of my units.</p> <p>I hereby acknowledge and agree that I am not entitled to cancel, terminate or revoke this power of attorney after this Power of Attorney and the Subscription Agreement and Power of Attorney have been submitted and that this subscription and such agreements shall survive my death or disability, but shall terminate with the full redemption of all my units in Grant Park. I hereby acknowledge and agree that this Power of Attorney shall be governed by and shall be interpreted in accordance with the laws of the State of Illinois, without regard to principles of conflicts of laws, except for matters arising under federal or state securities laws (exclusive of Illinois securities laws).</p>

**Neither Grant Park nor any selling agent may complete a sale of units until at least five business days after the date the investor receives a final prospectus. This Power of Attorney will be accepted only with a completed Subscription Agreement and Power of Attorney of Grant Park Futures Fund Limited Partnership.**

Signature of Investor \_\_\_\_\_

Date: \_\_\_\_\_

Signature of Joint Investor (if applicable) \_\_\_\_\_

Date: \_\_\_\_\_

**ALABAMA RESIDENTS ONLY**

**INITIALS**

- |  |  |
|--|--|
| <p>1. You are of legal age to execute the subscription agreement and are legally competent to do so. You acknowledge that you have received a copy of the final prospectus including the limited partnership agreement contained therein (as supplemented by sticker supplements if any).</p> <p>2. All information that you have furnished to the general partner or that is set forth in the subscription agreement submitted by you is correct and complete as of the date of the subscription agreement, and if there should be any change in such information acceptance of your subscription, you will immediately furnish the revised or corrected information to the general partner.</p> <p>3. Unless paragraph 4 or 5 below is applicable, your subscription is made with your funds for your own account and not as trustee, custodian or nominee for another.</p> <p>4. The subscription, if made as custodian for a minor, is a gift that you have made to such minor and is not made with such minor's funds or, if not a gift, the representations as to net worth and annual income set forth below apply only to such minor.</p> <p>5. If you are subscribing in a representative capacity, you have full power and authority to purchase the units and enter into and be bound by the subscription agreement on behalf of the entity for which you are purchasing the units, and such entity has full right and power to purchase such units and enter into and be bound by the subscription agreement and become a limited partner pursuant to the limited partnership agreement.</p> <p>6. You either are not required to be registered with the Commodity Futures Trading Commission ("CFTC") or to be a member of the National Futures Association ("NFA") or, if you are required to be so registered and to have such membership, are duly registered with the CFTC and are a member in good standing of the NFA.</p> <p>7. If you are acting on behalf of an "employee benefit plan," as defined in and subject to the Employee Retirement Income Security Act of 1974, as amended ("ERISA"), or a "plan" as defined in and subject to Section 4975 of the Internal Revenue Code of 1986, as amended (the "Code"), the individual signing the subscription agreement on your behalf hereby further represents and warrants as, or on behalf of, the plan responsible for purchasing units (the "Plan Fiduciary") that:</p> <p>(a) the Plan Fiduciary has considered an investment in Grant Park for such plan in light of the risks relating thereto;</p> <p>(b) the Plan Fiduciary has determined that, in view of such considerations, the investment in Grant Park is consistent with the Plan Fiduciary's responsibilities under ERISA;</p> <p>(c) the plan's investment in Grant Park does not violate and is not otherwise inconsistent with the terms of any legal document constituting the plan or any agreement thereunder;</p> <p>(d) the plan's investment in Grant Park has been duly authorized and approved by all necessary parties;</p> <p>(e) none of the general partner, any trading advisor, any clearing brokers, any selling agent, or any of their respective affiliates, agents or employees (1) has investment discretion with respect to the investment of assets of the plan used to purchase units, (2) has authority or responsibility to or regularly gives investment advice with respect to the assets of the plan used to purchase units for a fee and pursuant to an agreement or understanding that such advice will serve as a primary basis for investment decisions with respect to the plan and that such advice will be based on the particular investment needs of the plan, or (3) is an employer maintaining or contributing to the plan; and</p> <p>(f) the Plan Fiduciary (1) is authorized to make, and is responsible for, the decision to invest in Grant Park, including the determination that such investment is consistent with the requirement imposed by Section 404 of ERISA that plan investments be diversified so as to minimize the risks of large losses, (2) is independent of the general partner, the trading advisors, the clearing brokers, any selling agent and each of their respective affiliates, and (3) is qualified to make such investment decision.</p> <p>You will, at the request of the general partner, furnish the general partner with such information as the general partner may reasonably require to establish that the purchase of the units by the plan does not violate any provision of ERISA or the Code, including without limitation, those provisions relating to "prohibited transactions" by "parties in interest" or "disqualified persons" as defined therein.</p> <p>8. If you are acting on behalf of a trust (the "Subscriber Trust"), the individual signing the subscription agreement on behalf of the Subscriber Trust hereby further represents and warrants that an investment in the trust is permitted under the trust agreement of the Subscriber Trust, and that the undersigned is authorized to act on behalf of the Subscriber Trust under the trust agreement thereof.</p> <p>9. You acknowledge that the investment is not liquid, except in accordance with the redemption provisions of the limited partnership agreement, as amended from time to time.</p> <p>10. You acknowledge that due to anti-money laundering requirements operating in the United States, as well as Grant Park's own internal anti-money laundering policies, Grant Park, the general partner and/or your selling agent may require further identification of you and the source of your subscription funds before your subscription agreement can be processed, subscription monies accepted, or request for redemption processed. Grant Park, the general partner, your selling agent and each of their respective principals, members, shareholders, directors, officers, and employees shall be held harmless and indemnified against any losses, expenses or liabilities arising as a result of a failure to process your subscription agreement or any request for redemption if you have not satisfactorily provided any information that has been required by an indemnified party. You further acknowledge that all subscription payments delivered to Grant Park must originate directly from a bank or brokerage account in your name. You represent and warrant that you are not involved in any anti-money laundering scheme and that acceptance by the general partner of your subscription agreement to subscribe for units in Grant Park, together with acceptance of the appropriate remittance, will not breach any applicable laws, rules and regulations designed to avoid money laundering, including the provisions of the Bank Secrecy Act of 1970, as amended. Specifically, you represent and warrant that all evidence of identity provided is genuine and all related information furnished, and to be furnished in the future, is accurate.</p> <p>(a) You represent and warrant that you are subscribing for units for your own account and own risk, and, unless you advise the general partner and your selling agent to the contrary in writings and identify with specificity supplementally each beneficial owner on whose behalf you are acting, you represent that you are not acting as a nominee for any other person or entity, and no other person or entity will have a beneficial or economic interest in your units. You also represent that you do not have the present intention or obligation to sell, distribute or transfer the units, directly or indirectly, to any other person or entity or to any nominee account.</p> <p>(b) If you are (i) acting as trustee, agent, representative or disclosed nominee for another person or entity, or (ii) an entity investing on behalf of underlying investors, other than a publicly traded company listed on an organized exchange (or a subsidiary or a pension fund of such a company) based in a Financial Action Task Force ("FATF") Compliant Jurisdiction (the persons, entities and underlying investors referred to in (i) and (ii) being referred to collectively as the "Beneficial Owners"), you represent and warrant that:</p> <p>(A) You acknowledge the representations, warranties and agreements made in this paragraph 11 are made by you (i) with respect to you, and (ii) with respect to the Beneficial Owners;</p> <p>(B) You have all requisite power and authority from the Beneficial Owners to execute and perform the obligations under the subscription agreement;</p> <p>(C) You have adopted and implemented anti-money laundering policies, procedures and controls that comply with, and will continue to comply in all respects with, the requirements of applicable anti-money laundering laws and regulations; and</p> <p>(D) You have established the identity of or have access to all Beneficial Owners, hold evidence of or have access to such identities, and (i) will make such information available to the general partner and /or your selling agent upon request, or (ii) will provide a certificate signed by you or by a senior officer of you with respect to your compliance with the anti-money laundering policies, procedures and controls, and, in either case, have procedures in place to ensure that no Beneficial Owner is a Prohibited Investor.</p> <p>(c) You represent and warrant that, to the best of your knowledge and belief, neither you, any Beneficial Owners nor any person controlling, controlled by, or under common control with any such Beneficial Owners, nor any person having a beneficial or economic interest in any such Beneficial Owners, is a Prohibited Investor or, unless disclosed to the general partner and your selling agent in writing, a Senior Foreign Political Figure or a member of the Immediate Family or a Close Associate of a Senior Foreign Political Figure, and you are not investing and will not invest in Grant Park on behalf or for the benefit of any Prohibited Investor. You agree promptly to notify the general partner and your selling agent of any change in information affecting the representations and warranties in this paragraph 11.</p> <p>(d) You represent and warrant that the funds being used to make this investment are not derived from any unlawful or criminal activities.</p> <p>(e) For purposes of this paragraph 11, the following terms shall have the following meanings:</p> <ul style="list-style-type: none"> <li>• Close Associate of a Senior Foreign Political Figure is a person who is widely and publicly known internationally to maintain an unusually close relationship with the Senior Foreign Political Figure, and includes a person who is in a position to conduct substantial domestic and international financial transactions on behalf of the Senior</li> </ul> | <p>1. _____</p> <p>2. _____</p> <p>3. _____</p> <p>4. _____</p> <p>5. _____</p> <p>6. _____</p> <p>7. _____</p> <p>8. _____</p> <p>9. _____</p> <p>10. _____</p> |
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Foreign Political Figure.

- FATF-Compliant Jurisdiction is a jurisdiction that (i) is a member in good standing of FATF and (ii) has undergone two rounds of FATF mutual evaluations.
- FATF means the Financial Action Task Force on Money Laundering.
- Foreign Bank means an organization that (i) is organized under the laws of a non-U.S. country, (ii) engages in the business of banking, (iii) is recognized as a bank by the bank supervisory or monetary authority of the country of its organization or principal banking operations, (iv) receives deposits to a substantial extent in the regular course of its business, and (v) has the power to accept demand deposits, but does not include the U.S. branches or agencies of a non-U.S. bank.
- Foreign Shell Bank means a Foreign Bank without a Physical Presence in any country, but does not include a Regulated Affiliate. Regulated Affiliate means a Foreign Shell Bank that (i) is an affiliate of a depository institution, credit union, or Foreign Bank that maintains a Physical Presence in the United States or a non-U.S. country, as applicable, and (ii) is subject to supervision by a banking authority in the country regulating such affiliated depository institution, credit union, or Foreign Bank.
- Immediate Family of a Senior Foreign Political Figure typically includes such person's parents, siblings, spouse, children and in-laws.
- Non-Cooperative Jurisdiction means any non-U.S. country that has been designated as noncooperative with international anti-money laundering principles or procedures by an intergovernmental group or organization, such as the FATF, of which the United States is a member and with which designation the United States representative to the group or organization continues to concur.<sup>2</sup>
- Physical Presence means a place of business that is maintained by a Foreign Bank and is located at a fixed address, other than solely a post office box or an electronic address, in a country in which the Foreign Bank is authorized to conduct banking activities, at which location the Foreign Bank (i) employs one or more individuals on a full-time basis, (ii) maintains operating records related to its banking activities, and (iii) is subject to inspection by the banking authority that licensed the Foreign Bank to conduct banking activities.
- Prohibited Investor means (i) a person or entity whose name appears on the various lists issued and maintained by the U.S. Office of Foreign Assets Control ("OFAC"), including the List of Specially Designated Nationals and Blocked Persons, the Specially Designated Terrorists List and the Specially Designated Narcotics Traffickers List;<sup>3</sup> (ii) a Foreign Shell Bank; or (iii) a person or entity who is a citizen or resident of, or which is located in, or whose subscription funds are transferred from or through, a Foreign Bank in a Non-Cooperative Jurisdiction or Sanctioned Regime.
- Regulated Affiliate means a Foreign Shell Bank that (i) is an affiliate of a depository institution, credit union, or Foreign Bank that maintains a Physical Presence in the United States or a non-U.S. country, as applicable, and (ii) is subject to supervision by a banking authority in the country regulating such affiliated depository institution, credit union, or Foreign Bank.
- Sanctioned Regimes means targeted foreign countries, terrorism sponsoring organizations and international narcotics traffickers in respect of which OFAC administers and enforces economic and trade sanctions based on U.S. foreign policy and national security goals.<sup>4</sup>
- Senior Foreign Political Figure means a senior official in the executive, legislative, administrative, military or judicial branch of a foreign government (whether elected or not), a senior official of a major foreign political party, or a senior executive of a foreign government-owned corporation. In addition, a Senior Foreign Political Figure includes any corporation, business or other entity that has been formed by, or for the benefit of, a Senior Foreign Political Figure.

<sup>1</sup>For a current list of FATF-compliant jurisdictions refer to the Financial Action Task Force website, [http://www1.oecd.org/fatf/NCCT\\_en.htm](http://www1.oecd.org/fatf/NCCT_en.htm) <sup>2</sup>The list of Non-Cooperative Countries and Territories is amended periodically. For a current list of Non-Cooperative Countries and Territories, refer to the Financial Action Task Force website, [http://www1.oecd.org/fatf/NCCT\\_en.htm](http://www1.oecd.org/fatf/NCCT_en.htm) <sup>3</sup>The OFAC lists may be found at the OFAC website: <http://www.treas.gov/ofac> <sup>4</sup>As of the date of the prospectus, OFAC has imposed sanctions upon the following regimes: the Balkans, Belarus, Burma (Myanmar), Cote d'Ivoire (Ivory Coast), Cuba, Democratic Republic of the Congo, Iran, Iraq, Former Liberian Regime of Charles Taylor, North Korea, Persons Undermining the Sovereignty of Lebanon or Its Democratic Processes and Institutions, Sierra Leone, Sudan, Syria and Zimbabwe.

11. Neither Grant Park nor any selling agent may complete a sale of units until at least five business days after the date the investor receives a final prospectus. 11. \_\_\_\_\_
12. You have a net worth of at least \$250,000, exclusive of home, furnishings and automobiles, or an annual gross income of at least \$70,000 and a net worth, similarly calculated, of at least \$70,000. Furthermore, in no event may you invest more than 10% of your liquid net worth, exclusive of home, furnishings and automobiles, in Grant Park and other similar programs. 12. \_\_\_\_\_

**ALABAMA RESIDENTS ONLY**

**POWER OF ATTORNEY**

In connection with my purchase of Limited Partnership Units ("units") in Grant Park Futures Fund Limited Partnership ("Grant Park") as set forth in this Subscription Agreement and Power of Attorney enclosed herewith, I do hereby irrevocably constitute and appoint Dearborn Capital Management, L.L.C. (the "General Partner"), and its successors and assigns, as my true and lawful attorney-in-fact, with full power of substitution, in my name, place and stead, (i) to file, prosecute, defend, settle or compromise litigation, claims or arbitrations on behalf of Grant Park, and (ii) to make, execute, sign, acknowledge, swear to, deliver, record and file any documents or instruments that may be considered necessary or desirable by the General Partner to carry out fully the provisions of Grant Park's Third Amended and Restated Limited Partnership Agreement, including, without limitation, the execution of said Agreement itself, and the execution of all amendments permitted by the terms thereof. The Power of Attorney granted hereby shall be deemed to be coupled with an interest, shall be irrevocable and shall survive, and shall not be affected by, my subsequent death, incapacity, disability, insolvency or dissolution or any delivery by me of an assignment of the whole or any portion of my units.

I hereby acknowledge and agree that I am not entitled to cancel, terminate or revoke this power of attorney after this Power of Attorney and the Subscription Agreement and Power of Attorney have been submitted and that this subscription and such agreements shall survive my death or disability, but shall terminate with the full redemption of all my units in Grant Park. I hereby acknowledge and agree that this Power of Attorney shall be governed by and shall be interpreted in accordance with the laws of the State of Illinois, without regard to principles of conflicts of laws, except for matters arising under federal or state securities laws (exclusive of Illinois securities laws).

**By executing this Power of Attorney, you represent and warrant that:**

1. You are of legal age to execute the power of attorney and are legally competent to do so. You acknowledge that you have received a copy of the prospectus including the limited partnership agreement contained therein (as supplemented by sticker supplements if any).
2. All information that you have furnished to the general partner or that is set forth in the power of attorney submitted by you is correct and complete as of the date of the power of attorney, and if there should be any change, you will immediately furnish the revised or corrected information to the general partner.
3. If you are subscribing in a representative capacity, you have full power and authority to purchase the units and enter into and be bound by the power of attorney on behalf of the entity for which you are purchasing the units, and such entity has full right and power to purchase such units and enter into and be bound by the power of attorney and become a limited partner pursuant to the limited partnership agreement.
4. If you are (i) acting as trustee, agent, representative or disclosed nominee for another person or entity, or (ii) an entity investing on behalf of underlying investors, other than a publicly traded company listed on an organized exchange (or a subsidiary or a pension fund of such a company) based in a Financial Action Task Force ("FATF") Compliant Jurisdiction (the persons, entities and underlying investors referred to in (i) and (ii) being referred to collectively as the "Beneficial Owners"), you represent and warrant that you have all requisite power and authority from the Beneficial Owners to execute and perform the obligations under the power of attorney.

**Neither Grant Park nor any selling agent may complete a sale of units until at least five business days after the date the investor receives a final prospectus. This Power of Attorney will be accepted only with a completed Subscription Agreement and Power of Attorney of Grant Park Futures Fund Limited Partnership.**

Signature of Investor

Signature of Joint Investor (if applicable)

\_\_\_\_\_ Date: \_\_\_\_\_